

**INFORMATION DISCLOSURE STATEMENT**

Applicant : Philip W. Ching  
App. No : 09/781,685  
Filed : February 12, 2001  
For : HIERARCHICAL DOCUMENT  
CROSS-REFERENCE SYSTEM AND  
METHOD  
Examiner : Adam M. Queler  
Art Unit : 2179

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 2, 2004

(Date)

*Mark J. Kertz*

Mark J. Kertz, Reg. No. 43,711

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is an Information Disclosure Statement by Applicant listing 8 references to be considered by the Examiner (copies of the listed references are also included).

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

**DISCUSSION OF SCREEN SHOTS LISTED IN THE ATTACHED CITATION FORM AND  
ENCLOSED HEREWITH**

Applicant submits herewith several "screen shots" which are believed to be representative of demonstrations made by Applicant of another software package, more than one year prior to the filing date of the present application. At the demonstrations, Applicant operated a computer as it executed the software package. The display output of the computer was projected on a screen for viewing by the observers present, who were not subject to an obligation of confidentiality with respect to the demonstration. Some of the observers were potential purchasers of the software being demonstrated.

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Docket No. CHINGPH.002A  
Customer No. 20,995

The software package that was demonstrated in this manner comprises a hierarchical document comparison system. Screens 1 and 2 prompt the user to select a document category or group in which to perform a comparison. In Screen 3, the user is prompted to select a first document from the category/group for comparison to a second document from the category/group. For example, the second document might comprise a newer or revised version of the first document. The first and second documents are then compared by the system, which then generates Screen 4. Screen 4 lists the titles of those sections of the first and second documents in which differences were found between the first and second documents. In Screen 4 the user may select the displayed section titles for further viewing in Screen 5. Screen 5 shows the text of the selected sections and highlights the differences between the two documents.

In screen 5, the passages that contain a difference between the two documents are shown in light blue text and in a contrasting font. The passages that do not contain a difference between the two documents are shown in green text and in the "standard" font. (Sub-paragraph (a) is in light blue text on both sides of Screen 5, and the balance of the text is in green on both sides of Screen 5.) The precise word(s) which have been added/deleted/modified are underlined in Screen 5.

Respectfully submitted,

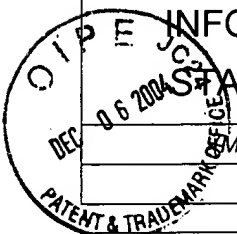
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Dec. 2, 2004

By: Mark Kertz

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 1

Application No.	09/781,685
Filing Date	February 12, 2001
First Named Inventor	Philip W. Ching
Art Unit	2179
Examiner	Adam M. Queler
Attorney Docket No.	CHINGPH.002A

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	5,819,300	10/06/1998	Kohno et al.	
	2	5,956,726	09/21/1999	Aoyama et al.	
	3	6,366,933	04/02/2002	Ball et al.	
	4	Re. 35,861	07/28/1998	Queen	
	5	6,560,620 B1	05/06/2003	Ching	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite N.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	6	Screen Shots illustrating SBYSCOMP system	
	7	Chawathe et al., "Change Detection in Hierarchically Structured Information". Proceedings of the 1996 ACM SIGMOD International conference on Management of Data, Quebec Canada, 1996.	
	8	MacKenzie et al., "Comparing and Merging Files, diff, diff3, sdiff, cmp, and patch", GNU Manual, Sep. 1993, pp. 1-57.	

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Examiner Signature	Date Considered
<b>*Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.